

Compliance

Integrated OFAC provides full search capabilities on individuals and companies. As new accounts are opened, IBE will provide returns and logs the results of your OFAC search request. Additionally, as required, a full search can be run against your core CIF database with all results being logged. If using Integrated Image Teller, OFAC is automatically run on Cashier's Checks at the time of production. If you are running a manual or third party stand alone solution the inquiry can be run manually. In either event the results are stored.

The following lists are available through Integrated OFAC:

- USA OFAC List Specially Designated Nationals (SDN)
 - USA OFAC List Sanctioned Programs
 - USA OFAC List Sanctioned Countries
 - USA OFAC Palestinian Legislative Council (PLC) List
 - USA FinCEN – Financial Crimes Enforcement Network Section 314a Compliance
 - USA Bureau of Industry and Security Denied Persons List (DPL)
 - USA FBI Most Wanted Terrorists
 - USA FBI Hijack Suspects
 - USA Bureau of Industry and Security Unverified List
 - USA The Entity List, subject to Export Administration Regulations (EAR)
 - USA Dept of State, Directorate of Defense Trade Controls List of Debarred Parties
 - Canada OSFI List of Names Subject to Terrorist Suppression Regulations
 - Canada OSFI List of Organizations Subject to Terrorist Suppression Regulations
 - UK Bank of England ’s Consolidated List
 - EU European Union Consolidated List
 - UN United Nations Consolidated List France FATF List of Non-Cooperative Countries (Money Laundering)
- All cash transactions throughout the bank are aggregated based on CIF, Account Number or customer. If the aggregation threshold exceeds \$10,000.01, an electronic CTR is generated. Once reviewed, the CTR is filed electronically to the Federal Reserve Bank. All items purchased with cash are automatically logged and placed into the MIL report. This report will show the details of each transaction.